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PATENT & TRADEMARK OFFICE

1762

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

SEKI, et al.

Serial No: 09/297,483

Filed: July 19, 1999

For: Organic EL Element Manufacturing Process and Organic EL Element

Art Unit: 1762

Examiner: Cleveland, M.B.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450, on
June 30, 2005
Date of Deposit
Shindale Ferguson
Name
Signature
June 30, 2005
Date

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sirs:

1. ☐ The information disclosure statement submitted herewith is being filed within three months of the filing date of the application other than a continued prosecution application, or within three months of the date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under §1.114, whichever event occurs last. 37 C.F.R. §1.97(b).

2. ☒ The information disclosure statement transmitted herewith is being filed *after* the period specified in §1.97(b), but *before* the mailing date of a final action under §1.113, or a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, whichever occurs first. A statement specified in §1.97(e) or a fee set forth in §1.17(p) is included. 37 C.F.R. §1.97(c).

§1.97(e) STATEMENT

I, the person signing below, state:

☒ that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

OR

☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

OR FEE

☐ Please charge the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c) ($180.00) to Deposit Account No. 50-1314. A copy of this petition is enclosed.

3. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in §1.97(c), but before, or simultaneously with the payment of the issue fee. A statement specified in §1.97(e) and a fee set forth in §1.17(p) are included. 37 C.F.R. §1.97(d).

§1.97(e) STATEMENT

I, the person signing below, state:

☐ that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

OR

☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

AND FEE

☐ Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(d). ($180.00).

4. ☒ If it should be determined that for any reason either an insufficient fee or an excessive has been paid, please charge any insufficiency or credit any overpayment necessary to ensure consideration of the information disclosure statement for the above-identified application to Deposit Account No. 50-1314. **A copy of this petition is enclosed.**

5. ☒ A list of 13 reference(s) is in the enclosed Form PTO-1449.

NON-ENGLISH LANGUAGE REFERENCES

☒ Enclosed is a Japanese language office action for a counterpart application.

☒ The applicant has provided machine translations of selected references as a matter of courtesy. The applicant has not reviewed the translations for accuracy.

☒ Japanese Publication No. 62-181490 is submitted without English abstract or translation. Upon receipt of the translation or abstract, the reference will be resubmitted along with such.

Respectfully submitted,
HOGAN & HARTSON L.L.P.

Date: June 30, 2005

By: _____
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FORM PTO-1449	Docket Number (Optional) 81756.0003	Application Number 09/297,483
INFORMATION DISCLOSURE CITATION IN AN APPLICATION *(Use several sheets if necessary)*	Applicant SEKI, et al.	
	Filing Date July 19, 1999	Group Art Unit 1762

JUL 0 6 2005 JC42 PATENT & TRADEMARK OFFICE

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation YES	Translation NO
	09-069334	03/11/97	JAPAN			Abstract & Machine Translation	
	08-327991	12/13/96	JAPAN			Abstract & Machine Translation	
	64-005095	01/10/89	JAPAN			Abstract	
	62-181490	08/08/87	JAPAN				X
	04-248880	09/04/92	JAPAN			Abstract	
	08-197731	08/06/96	JAPAN			Abstract & Machine Translation	
	08-048858	02/20/96	JAPAN			Abstract & Machine Translation	
	06-073271	03/15/94	JAPAN			Abstract & Machine Translation	
	06/208198	07/26/94	JAPAN			Abstract & Machine Translation	
	07-023578	01/24/95	JAPAN			Abstract & Machine Translation	
	04-121702	04/22/92	JAPAN			Abstract	
	06-347637	12/22/94	JAPAN			Abstract & Machine Translation	

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*	
	HEBNER, et al., "Ink-Jet Printing of Doped Polymers for Organic Light Emitting Devices", Applied Physics Letters, Vol. 72, Number 5, Feb. 1998, pgs. 519-521

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.